



BHH Securities Pvt Ltd.

Registered Office : 634, Rotunda Building, Bombay Stock Exchange, Dalal Street, Fort, Mumbai - 400001.
Tel.: 022-3028 9873 / 74 / 75 • E-mail: info@bhhsecurities.com • Website: www.bhhsecurities.com

Name of Stock Broker/Trading Member : BHH Securities Pvt. Ltd.
Clearing Member : BSE LTD. - Cash Segment: INB 010998234 (31-12-1997)
Clearing Member : NSE - Cash Segment : INB230998230 (30-08-2007)
Trading Member : NSE - Derivatives Segment : INF230998230 (30-08-2007)
Trading Member : United Stock Exchange - Currency Segment : INE270998235 (30-07-2010)
Clearing Member : Edelweiss Securities Ltd. Reg.no. INF231193310
(NSE Derivatives) / (USE Currency)
2nd Floor, MB Towers, Banjara Hills, Hyderabad- 500034
Tel.No.040-4031 6900

Depository Participant : CDSL DP ID 12052200 SEBI Regn. No. IN-DP-CDSL-427-2007 (10-10-2007)

Compliance Officer Name, : Ravi Bhavsar
Phone No. & E-mail Id : Tel. No.: 022-22721259, E-mail ID : info@bhhsecurities.com

CEO Name, : Rahul Harlalka
Phone No. & E-mail Id : Tel.: 022-30289876/77, E-mail ID : rahul.harlalka@bhhsecurities.com

For any grievance/dispute please contact BHH Securities Pvt Ltd. at the above address or at email id- grievance@bhhsecurities.com and Phone no. 91-22-30289873.

In case not satisfied with the response, please contact the concerned Stock Exchanges

NSE	: ignse@nse.co.in	Phone no. : 91-22-2659 8190	Fax no. : 91-22-2659 8191
BSE	: is@bseindia.com	Phone no. : 91-22-2272 1233 / 2272 8138	Fax no. : 91-22-2272 3677
USE	: redressal@useindia.com	Phone no. : 91-22-4244 4932 / 2272 8312	Fax no. : 91-22-2272 2303
CDSL	: complaints@cdslindia.com	Phone no. : 91-22-2272 8658	

Client Registration Form

Mr. / Ms. / M/s.	
PAN NUMBER	
E-MAIL ID	
CLIENT CODE	
DEMAT A/C. NUMBER	
REGISTRATION DATE	
BRANCH	

INDEX

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

**Documents to be kept with Member Broker and Copy to be given to Clients also
(All documents are available on the website www.bhhsecurities.com also)**

Sr. No.	Name of the Document	Brief Significance of the Document	Page No.
1.	Account Opening Form	A. KYC form - Document captures the basic information about the constituent.	5-9
		B. Document captures additional information about the constituent relevant to trading account.	10-12
2.	Tariff sheet	Document detailing the rate/amount of brokerage and other charges payable by the client.	13

VOLUNTARY DOCUMENTS AS PROVIDED BY THE STOCK BROKER




3.	Running Account	Running Account Authorisation for holding funds for day to day Ledger debit and margin.	14
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7.	Letter of Authority cum Declaration by Client	Authority given by the Client to accept orders on telephone, sms, any electronic mode or orally and declaration for self trading.	16
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MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

**Documents to be given to Client and Acknowledgement to be given to broker by clients
(All documents are also available on the website www.bhhsecurities.com)**

Sr. No.	Name of the Document	Brief Significance of the Document	Page No.
10.	Rights and Obligations*	Document stating the Rights & Obligations of stock broker/ trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet/ wireless technology based trading).	
11.	Risk Disclosure Document(RDD) *	Document detailing risks associated with dealing in the securities market.	
12.	Guidance note *	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	
13.	Policies and Procedures	Document describing significant policies and procedures of the stock broker.	

* as prescribed by SEBI

 : Signature of Client  : Signature of Witness  : Signature of Broker
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INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/ OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/ Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - *List of documents admissible as Proof of Identity*

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - *List of documents admissible as Proof of Address (*Documents having an expiry date should be valid on the date of submission.)*

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostiled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (**Sufficient documentary evidence in support of such claims to be collected.*)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:	
Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> ● Copy of the balance sheets for the last 2 financial years (to be submitted every year). ● Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). ● Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. ● Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. ● Copies of the Memorandum and Articles of Association and certificate of incorporation. ● Copy of the Board Resolution for investment in securities market. Authorised signatories list with specimen signatures.
Partnership firm	<ul style="list-style-type: none"> ● Copy of the balance sheets for the last 2 financial years (to be submitted every year). ● Certificate of registration (for registered partnership firms only). Copy of partnership deed. Authorised signatories list with specimen signatures. Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> ● Copy of the balance sheets for the last 2 financial years (to be submitted every year). ● Certificate of registration (for registered trust only). ● Copy of Trust deed. List of trustees certified by managing trustees/CA. ● Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> ● PAN of HUF. ● Deed of declaration of HUF/ List of coparceners. ● Bank pass-book/bank statement in the name of HUF. ● Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> ● Proof of Existence/Constitution document. ● Resolution of the managing body & Power of Attorney granted to transact business on its behalf. ● Authorized signatories list with specimen signatures.
Types of entity	Documentary requirements
Banks/Institutional Investors	<ul style="list-style-type: none"> ● Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. ● Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> ● Copy of SEBI registration certificate. ● Authorized signatories list with specimen signatures.
Army/ Government Bodies	<ul style="list-style-type: none"> ● Self-certification on letterhead. ● Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> ● Copy of Registration Certificate under Societies Registration Act. ● List of Managing Committee members. ● Committee resolution for persons authorised to act as authorised signatories with specimen signatures. ● True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

INSTRUCTIONS/ CHECK LIST

1. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets	Self declaration

**In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.*

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. For individuals:
 - a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
 - b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.
5. For non-individuals:
 - a. Form need to be initialized by all the authorized signatories.
 - b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.


MANDATORY

**KNOW YOUR CLIENT (KYC) APPLICATION FORM
(FOR INDIVIDUALS)**

Affix recent photograph

Please fill this form in **ENGLISH** and in **BLOCK LETTERS**.

(Please sign across the Photograph)

 (1a/12)

A. IDENTITY DETAILS

1. Name of the Applicant																																			
2. Father's / Spouse Name																																			
3. (a) Gender	<input type="checkbox"/> Male							<input type="checkbox"/> Female							(b) Marital status							<input type="checkbox"/> Single							<input type="checkbox"/> Married						
(c) Date of birth	D		D		M		M		Y		Y		Y		Y		Y		Y		Y		Y		Y		Y		Y						
4. (a) Nationality																																			
(b) Status	<input type="checkbox"/> Resi. Individual							<input type="checkbox"/> NRI							<input type="checkbox"/> Foreign National																				
5. (a) PAN															(b) UID No./ Aadhaar, if any																				
6. Specify the proof of Identity submitted																																			

B. ADDRESS DETAILS

1. Address for correspondence																												
City / Town / Village															Pin Code													
State															Country													
2. Contact Details Telephone Office															Residence													
Mobile No.															Fax													
Email ID																												
3. Specify the proof of Address submitted																												
4. Permanent Address (if different from above or overseas address, mandatory for Non-Resident Applicant)																												
City / Town / Village															Pin Code													
State															Country													
5. Specify the proof of Address submitted																												

MANDATORY

KNOW YOUR CLIENT (KYC) APPLICATION FORM (FOR Non-Individuals)

Please fill this form in ENGLISH and in BLOCK LETTERS.

A. IDENTITY DETAILS

1. Name of the Applicant																													
2. Date of Incorporation	D		D		M		M		Y		Y		Y		Y		Y		Y		Y		Y		Y		Y		Y
Place of Incorporation																													
3. Date of commencement of business:	D		D		M		M		Y		Y		Y		Y		Y		Y		Y		Y		Y		Y		Y
4. (a) PAN															(b) Regn. No. (e.g. CIN)														
5. Status (please tick any one):	<input type="checkbox"/> Private Limited Co. <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> Body Corporate <input type="checkbox"/> Partnership <input type="checkbox"/> Trust <input type="checkbox"/> Charities <input type="checkbox"/> NGO's <input type="checkbox"/> FI <input type="checkbox"/> FII <input type="checkbox"/> HUF <input type="checkbox"/> AOP <input type="checkbox"/> Bank <input type="checkbox"/> Government Body <input type="checkbox"/> Non-Government Organization <input type="checkbox"/> Defense Establishment <input type="checkbox"/> BOI <input type="checkbox"/> Society <input type="checkbox"/> LLP <input type="checkbox"/> Others (please specify)																												

B. ADDRESS DETAILS

1. Address for correspondence																													
City / Town / Village															Pin Code														
State															Country														
2. Contact Details Telephone Office															Residence														
Mobile No.															Fax														
Email ID																													
3. Specify the proof of Address submitted																													
4. Registered office Address, if different from above.																													
City / Town / Village															Pin Code														
State															Country														
3. Specify the proof of Address submitted																													

C. OTHER DETAILS

1. Gross Annual Income Details (Please Specify) Income Range P.A.	<input type="checkbox"/> < Rs 1 Lac <input type="checkbox"/> 1-5 Lac <input type="checkbox"/> 5-10 Lac <input type="checkbox"/> 10-25 Lac <input type="checkbox"/> 25 Lac - 1 Crore <input type="checkbox"/> > 1 Crore																											
2. Networth	as on DD / MM / YYYY Rs. (Net worth should not be older than 1 year)																											

4. DIN/UID of Promoters/Partners and whole time directors/two directors in-charge of day to day operations:

Name	DIN/UID
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____

DIN compulsory in case of Directors

5. Please tick, if applicable, for any of your authorized signatories/Promoters/Partners/Karta/Trustees/whole time directors

- Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)


6. Any other information: _____

DECLARATION

I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Name of the Authorised Signatory : _____

Date:

 (1e/12)

Signature of the Authorised Signatory

FOR OFFICE USE ONLY

- (Originals verified) True copies of documents received
 (Self-Attested) Self Certified Document copies received

Signature of the Authorised Signatory

Date:

Seal/Stamp of the branch/business associate

MANDATORY

TRADING ACCOUNT RELATED DETAILS

Please fill this in **ENGLISH** and in **BLOCK LETTERS**.

A. BANK ACCOUNT DETAILS

Bank Name																								
Branch Address																								
	City												Pin Code											
Bank Account No.																								
Account Type	<input type="checkbox"/> Saving A/c <input type="checkbox"/> Current A/c <input type="checkbox"/> Others (In case of NRI/NRE/NRO) _____																							
MICR Code													IFSC Code											

ADDITIONAL BANK ACCOUNT(S) DETAILS

Bank Name																								
Branch Address																								
	City												Pin Code											
Bank Account No.																								
Account Type	<input type="checkbox"/> Saving A/c <input type="checkbox"/> Current A/c <input type="checkbox"/> Others (In case of NRI/NRE/NRO) _____																							
MICR Code													IFSC Code											

B. DEPOSITORY ACCOUNT(S) DETAILS

DP Name																								
Depository Name	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL																							
BO Name																								
DP ID																								
BO ID																								

ADDITIONAL DEPOSITORY ACCOUNT DETAILS

DP Name																								
Depository Name	<input type="checkbox"/> NSDL <input type="checkbox"/> CDSL																							
BO Name																								
DP ID																								
BO ID																								

TARIFF SHEET

Account Opening Charges - _____

Cash Segment

Transaction Type	Rate (%)	Minimum	
Delivery			
Intra-day trading			One Side / Both Sides

Futures & Options

Future Trades			
Trading			
Intra-day			One Side / Both Sides
Options Trades			
Trading		Per Lot	
Intra-day		Per Lot	One Side / Both Sides

Currency Futures

Trading			
Intra-day			One Side / Both Sides

Note:

Service Tax, STT, Stamp duty and other Statutory / Exchange charges, as applicable, will be charged extra in addition to the brokerage rate mentioned in the aforesaid table

#Minimum Brokerage will be applicable subject to a minimum of Rs. 20 per contract Note (All the brokerage shall be subject to the maximum brokerage limits as specified by the regulators from time to time)

I/We have understood the rates/terms and conditions, as explained and stated in this tariff sheet and agree to abide by the same.

Client Name: _____ Signature: _____ (7/12)

FOR OFFICE USE ONLY

UCC Code allotted to the Client: _____

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the employee			
Date			
Signature			

We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. We have given/sent him a copy of all the KYC documents. We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on our website (www.bhhsecurities.com), for the information of clients.



Signature of the Authorised Signatory

Seal/Stamp of the stock broker

Date: _____

'RUNNING ACCOUNT AUTHORISATION FOR ALL EXCHANGES

I/ we _____ having client code _____
with BHH Securities Pvt. Ltd. for trading on BSE Cash/NSE Cash/NSE FNO/BSE Currency, would like to give instructions for maintaining my account on running basis in terms of SEBI circular number CIR/MRD/DMS/13/2010 dated 23rd April'2010. These instructions are being given voluntarily on ___ day of ___ month ___ year. I am aware that these instructions can be withdrawn in full or in part at any time by giving written intimation duly acknowledged by BHH Securities Pvt. Ltd. at 634, Rotunda Building, Bombay Stock Exchange, Dalal Street, Fort, Mumbai 400001. I am aware that this said revocation will take effect after the completion of pending obligations in respect of margin and inter-exchange/segment account transfer etc.

1. I/We hereby request you to maintain my account, both securities and funds, in respect of all stock exchanges and all segments with you on running basis. This will facilitate smoothness in my/our transactions through you.
2. I/We request you to settle my account on quarterly basis.
3. I/We also request you to consider the balances in my/our running funds and securities account with you for the purpose of margin/any other obligations due to you. In view of the above it would be proper for you to release the funds and securities due to me/us on my/our specific request, either written or oral.
4. I/We shall not claim any interest on the funds/securities kept in Running Account with you.
5. I/We hereby willfully & unconditionally issue standing instruction for transfer of credit / debit balance as appearing in my ledger account(s) from/to one segment to/from another segment of same stock exchange and/or any segment of another stock exchange.
6. I/We have the Beneficiary Account with BHH Securities Pvt. Ltd. (DP Operations). Since the bills for Depository service are required to be paid every month which is very inconvenient, I/We hereby request you to debit my ledger account maintained with BHH Securities Pvt. Ltd. in Cash and/or Derivative segment, with depository charges including pledge charges.
7. I/We may also ask you from time to time to furnish the shares as collateral security to NSE and/or clearing member for trading on Derivative segment. The transfer and/ or pledge charges in that respect may also be debited to our NSE/BSE cash and/or Derivatives ledger account.
8. The above authorisation is not withstanding the fact that you are required to make payment of funds and/or transfer securities to my/our account within one day from the declaration of pay-out.

SIGNED AND DELIVERED)

BY THE WITHIN NAMED)

Client Name _____)  (8/12)

COMMON DECLARATION

The Client hereby confirm that the stock broker has disclosed that it undertakes Proprietary trading primarily as Investments in addition to Client Based Trading and have read and understood Risk Disclosure Document issued by BSE and NSE. The Client further confirms that they are aware of the Rules and Regulations on Prevention of Money Laundering Act (PMLA), 2002 and that The Client has not violated any of the rules and regulations of the said act and hereby indemnify the Stock Broker from any liability arising from my/our transactions executed with the Stock Broker.

The Client hereby Confirms that he/ she himself/herself or none of its directors/ partners / trustees / promoter / Karta / whole time director and / or, shareholders holding majority stake are / were debarred from dealing in securities market by any statutory authority including SEBI/NSE/BSE.

The Client hereby declares that the details furnished in this documents are true and correct to the best of their knowledge and belief and that the client undertakes to inform the member of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting we / I as the client are / am aware that we / I may be held liable for it.


Client Signature  (9/12) _____

Client Name _____

DECLARATION FOR MOBILE NO.

I, _____ do hereby declare that my mobile no. is

Further, I designate the aforementioned mobile number to be used for giving me any information/alert/sms/call. I further authorise BHH Securities Pvt. Ltd. to send any information/alert/sms/call at the said mobile number including but not limited to fundamental trading research data, trading calls and promotional messages and calls. I further declare the abovementioned statement is true and correct.

Signature of the client  (10/12) _____

CONSENT TO RECEIVE THE STATEMENTS/ CONTRACT NOTE BY EMAIL

I/We hereby consent to receive from BHH Securities Pvt. Ltd. (BHH Securities), the digital contract notes, ledgers, transaction statements, Demat Statement of account/holding statement(s) and such other correspondence, documents, records by whatever name called (hereinafter referred to as "statement(s)") in electronic form duly authenticated by means of a digital signature as specified in the Information Technology Act, 2000 and the rules made there under, at the email id mentioned below. I/We further hereby agree that BHH Securities shall fulfill the legal obligation, if the above Statement(s) are sent electronically to the email id provided by me.


E-mail ID 1	
E-mail ID 2	

In this regard, I/We further agree that:

1. I/We confirm that once you send the said documents at my/our email id, the same would be treated as received by me/us. Non-receipt of email due to any reason whatsoever or a bounced mail notification shall amount to delivery of contract note or any other statement/communication at my/our email ID.
2. BHH Securities Pvt Ltd shall not take cognizance of out of office/out of station auto replies and I/We shall be deemed to have received such electronic mails.
3. Such Statements shall be deemed to have been delivered on the day when the email is sent by BHH Securities.
5. BHH Securities shall not be liable or responsible for any Statement received from frauds or impostors or any consequences thereof.
6. BHH Securities shall not be liable for any problem, which arises at my/our computer network because of my/our receiving any statements from BHH Securities.
7. In case of any change in my email id, I/We undertake to communicate the same to BHH Securities through a letter in writing at your designated Email ID info@bhhsecurities.com
8. I/We undertake to check the Statement and bring the discrepancies to the notice of BHH Securities within 24 hours at your designated email id : info@bhhsecurities.com My/Our non-verification or not accessing the statement on regular basis shall not be a reason for disputing the same at any time.

I/We further agree that BHH Securities will not be responsible for non-receipt of documents sent via electronic delivery due to change in/incorrect e-mail address/ correspondence address as mentioned or any other reason which inter-alia include technical reasons or malfunction of my/our computer system/server/internet connection etc.

I/We further agree that BHH Securities may at its sole discretion also provide such Statement in physical form.

Signature of the client  (11/12) _____

LETTER OF AUTHORITY CUM DECLARATION BY CLIENT

I/We will be regularly trading and investing, or plan to do so, through you on either/all Exchanges i.e. BSE, NSE and NSE F&O. To facilitate and ease operations I/We expressly authorize you as under:

Authority

To accept any/all of my/our orders for purchase/sale of shares and securities which shall be given on telephone, short messaging service, any electronic mode or orally during my/our visit to your office.

Declaration/Undertaking

I/We declare that all trades entered by me/us with you on BSE/NSE/NSE F&O are for my /our account. I/We confirm that I/We do not act on behalf of any other constituent and all trades executed under my client code belong to me/us and that we do not issue any contracts/trade confirmations to any one.

Trading on Exchanges is in Electronic mode, based on VSAT, leased line, ISDN, Modem, VPN, Internet or a combination of technologies and computer systems to place and route orders. We understand that there exists a possibility of communication failure, system problems, slow and delayed response from system, trading halt or any other problem/glitch whereby we are not able to establish access to the trading system/network which may be beyond your control and may result in delay in processing buy or sell orders either in part or in full. I/We agree that I/We shall be fully liable and responsible for any such problem/fault.

Thanking you

Yours truly,

Signature  (12/12)

Client Name:

Date:



DECLARATION BY HUF

Date :

To

BHH Securities Pvt Ltd.
634-Rotunda Building,
Bombay Stock Exchange Ltd,
Dalal Street, Fort,
Mumbai- 400001.

Dear Sir,

I hereby request you to open our trading account with you, for our HUF.

Being Karta of my family, I hereby declare that following is the list of family members in our HUF, as on date of Application, i.e.

_____.

SR.	NAME OF FAMILY MEMBER	Date of Birth	Relationship	Signature
1.				
2.				
3.				
4.				
5.				
6.				

** If any of the family members of the HUF is a minor, then the Karta has to sign on behalf of the minor without the Karta stamp.*

I hereby also declare that the particulars given by me as stated above are true to the best of my knowledge as on date for making this Application to open new Securities Account.

I agree that any false/misleading information given by me or suppression of any material information will render my said account liable for termination and further action. Further, I agree that I will immediately intimate any death/s or birth/s in the family as it changes the constitution of the HUF.

Yours truly,



(Name and signature of Karta)

DECLARATION-ONLY NRIS

In continuation to the terms and conditions for opening of trading account and accepting rights and obligations we hereby declare and undertake that:

1. We are making investment in securities/shares through the following NSE/BSE member broker:
2. We have the following one Bank Account and Demat Account for the above investments. The details of the same are as under
 - a. Bank Account
 - b. Demat Account

We are aware of the rules and regulations of the NRI investments in India, Anti-money laundering rules and regulations and shall keep ourselves updated from time to time. I/We hereby declare that I/We have complied with and will continue to comply with FEMA regulation or other applicable laws, regulations of RBI, SEBI or any other statutory Authority from time to time.

Income tax or other tax liability is our responsibility and the same will be borne by and paid by me.

I hereby acknowledge that I have received and understood this authorization to maintain the account.



Customer Signature (If partner, Corporate or other Signatory, then attest with company seal)

Name:

Date:



Acknowledgement of receipt of Client Registration Documents

I/We hereby confirm that I/We have received the copies of the Client Registration (KYC) Form, Rights and Obligations Document, Risk Disclosure Document, Guidance note detailing do's and don'ts for trading on exchange, Document describing significant policies and procedures of the stock broker and the tariff structure, duly executed by me and forming part of this Client Registration Application Form with M/s. BHH Securities Pvt. Ltd.

Signature of the Client